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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/827,545

Filing Date April 6, 2001

First Named Inventor Sprunk, Eric J.

Group Art Unit 2611

Examiner Name

Technology Center 2600

Total Number of Pages in This Submission

1

Attorney Docket Number

018926-003150US

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)
and Accompanying Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)
(please identify below):

1. Transmittal Form 2. PTO/SB 08A and
08B w/5 references attached 3. Return
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Firm
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Individual name

Townsend and Townsend and Crew LLP

Thomas D. Franklin

Reg. No. 43,616

Signature

Date

January 17, 2002

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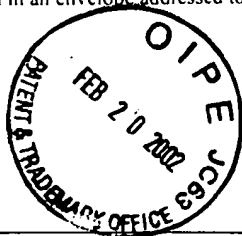
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PATENT
Attorney Docket No.: 018926-003150US
Client Reference No.: D2316 CIP 3

On

TOWNSEND and TOWNSEND and CREW LLP

By:



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Eric J. Sprunk

Application No.: 09/827,545

Filed: April 6, 2001

For: ACCESS CONTROL PROCESSOR

Examiner:

Art Unit: 2611

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

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Technology Center 2600

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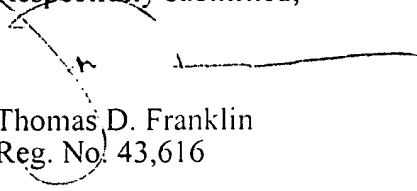
Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,


Thomas D. Franklin
Reg. No. 43,616

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	09/827,545
Filing Date	April 6, 2001
First Named Inventor	Sprunk, Eric J.
Group Art Unit	2611
Examiner Name	
Attorney Docket Number	018926-003150US

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	5,933,498		Schneck, et al.	08-03-1999	
	AB	6,314,409	B2	Schneck, et al.	11-06-2001	
	AC					
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. ⁶ If possible, ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2 of 2

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Application Number	09/827,545
Filing Date	April 6, 2001
First Named Inventor	Sprunk, Eric J.
Group Art Unit	2611
Examiner Name	
Attorney Docket Number	018926-003150US

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

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	BC	HACKENBERG, GERALD, <i>Computerviren: Vorbeugung und Bekämpfung</i> , 258 IT Informationstechnik, 32 (1990) Februar, no. 1, Siemens AG, Munchen-	
	BD	English translation of above Cite No. BC	
	BE	SCHNECK, PAUL B., <i>Persistent Access Control to Prevent Piracy of Digital Information</i> , Proceedings of the IEEE, Vol. 87, No. 7, July 1999, pp. 1239-1250	
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